

Application of a heat pipe heat exchanger to dehumidification enhancement in a HVAC system for tropical climates—a baseline performance characteristics study

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Abstract

In Malaysia, humidity control is a common problem in built environments in tropical hot and humid climates as it is an important aspect of the maintenance of comfortable and healthy conditions within a controlled airspace. An 8-row thermosyphon-based heat pipe heat exchanger (HPHX) for tropical building HVAC systems was studied experimentally. This research was an investigation into how the sensible heat ratio (SHR) of the 8-row HPHX was influenced by each of three key parameters of the inlet air state, namely, dry-bulb temperature, relative humidity and air velocity. On the basis of this study, it is recommended that tropical HVAC systems should be installed with heat pipe heat exchangers for dehumidification enhancement.

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Keywords: Heat pipe heat exchanger; Coolness recovery; HVAC systems; Dehumidification and sensible heat ratio

1. Introduction

Humidity control is a never-ending war in tropical hot and humid built environment, particularly, in Malaysia and Singapore. Yau and Tucker [1] mentioned that for many years, heat pipe heat exchangers (HPHXs) with two-phase closed thermosyphons, as shown in Fig. 1, have been widely applied as dehumidification enhancement and energy savings device in HVAC systems in Western countries. Hill and Jeter [2] modelled the effect of a HPHX on air-conditioning performance through a combination of a complete HPHX thermal node model and a model for the HVAC system implemented through performance maps. This research was conducted at Georgia Institute of Technology, Atlanta. Major increases in moisture-removal capability and efficiency through the application of this technology were seen improved in the range of 25–55%. In comparison, the redesign of a conventional evaporator produced

a nominal decrease in sensible heat ratio in the range of 25–50% through the decrease of sensible capability but did not enhance latent capability or moisture-removal efficiency. This indicated that the application of a HPHX offered major improvements in humidity control and overall efficiency when compared to the usual practice of utilising a specially designed cooling coil in combination with reheat.

Despite many virtues practical operation of this device, the application of HPHXs in tropical countries is not wide spread, at least in Malaysia, Singapore, Indonesia and Brunei. Why is that so? One reason may be that almost all these experimental studies were done for cool and dry climate conditions.

A literature search has been carried out on theoretical and experimental research of HPHXs applied in HVAC (Heating, Ventilating and Air Conditioning) systems for the past 30 years (1970–2001), and it revealed that complete experimental research based on a Typical Meteorological Year (TMY) data for HPHXs applied in tropical climates for yearly operation of 8760 hours is virtually none. In addition, literature review further indicated that even research work related to energy recovery using HPHX carried out in sub-tropical climates are hardly found. Niu et al. [3] studied a HVAC system combin-

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Nomenclature

AHU	air handling unit
AC	air conditioner
CWC	chilled water coil
DBT	dry-bulb temperature
WBT	wet-bulb temperature
HPHX	heat pipe heat exchanger
HVAC	heating, ventilating and air conditioning
K	resistance coefficient
\dot{m}	mass flow rate kg s^{-1}
\dot{Q}	heat transfer rate W
RH	relative humidity

Subscripts

a	air
ac	air-conditioner cooling (process 2-3)
hpc	heat pipe pre-cooling (process 1-2)
hac	heat pipe and air-conditioner cooling (process 1-3)
hpr	heat pipe reheat (process 4-5)
NET	process 1-5
p1-3	process 1-3
p1-5	process 1-5

ing chilled-ceiling with desiccant cooling for maintaining the indoor air humidity within a comfort zone and to reduce the risk of water condensation on chilled panels. The results reveal that chilled-ceiling combined with desiccant cooling might conserve up to 44% of primary energy use compared to a conventional constant volume all-air system.

In a separate study, Zhang et al. [4] conducted a research on energy consumption for conditioning ventilation air and the annually performance of a membrane-based energy recovery ventilator (MERV) in Hong Kong. The results indicated that approximately 58% of the energy needed for cooling and heating fresh air might be saved yearly with an MERV, while only roughly 10% of the energy might be saved via a sensible-only energy recovery ventilator (SERV). In a similar study, Zhang et al. [5] conducted a study on a thermodynamic model built with an air moisture removal system incorporated a membrane-based total heat exchanger to estimate the energy use annually. The outcomes suggested that the independent air moisture removal could save 33% of primary energy.

Unfortunately, these research just mentioned above were conducted without a HPHX in the system even though these systems have some similarities in terms of heat transfer working mechanism compared to a system installed with a HPHX. Also, note that all these research work just described above were conducted in sub-tropical regions (Hong Kong and Southern China)

with distinctive diagonal swing of outdoor air conditions (i.e. winter, spring, fall and summer seasons) annually compared to tropical regions with almost constant outdoor air conditions (i.e. hot and humid summer season only year-round).

Therefore, the relative dehumidification enhancement advantages of these new technologies for tropical HVAC systems are still in question. To this end, this investigation has been conducted and the major aim is to establish the baseline performance characteristics of the HPHX under conditions representative of those that would be experienced in a tropical climate for future research. The minor aim is to determine the dehumidification enhancement capability of a typical 8-row HPHX for yearly operation in tropical HVAC systems.

2. Overview of relevant theory

The HPHX evaporator section functions as a pre-cooler for the AC system and the condenser section as a reheating coil as shown in Fig. 2. By doing this the cooling capacity for the original system is re-distributed so that latent cooling capability of the conventional cooling coil is enhanced.

In hot and humid tropical climates, the moisture removal capability of the chilled water coil in the HVAC systems can be enhanced if the supply air is pre-cooled before reaching the chilled water coil. For instance, a typical HVAC system at aver-

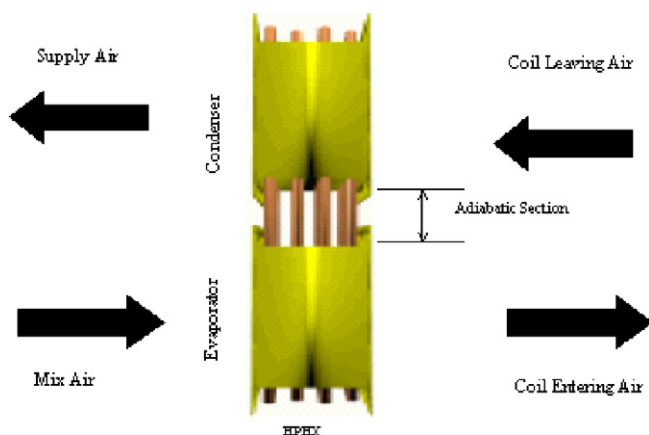


Fig. 1. A typical heat pipe heat exchanger (HPHX) applied in HVAC systems.

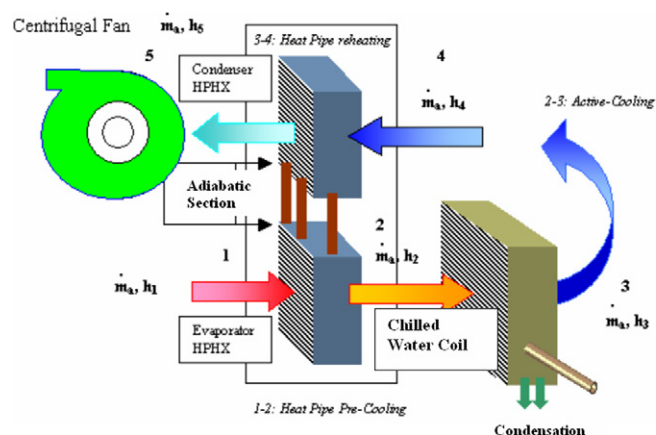


Fig. 2. Simple schematic diagram for HVAC model running with a HPHX [1].

age ambient condition of 32 °C and 58% relative humidity (RH) with total cooling load at 58.5 kW as shown in Fig. 3 can save 14.4 kW if HPHX is added into the HVAC system as shown in Fig. 4 [6]. Fig. 5 represents this situation with the present experimental air state numbering system superimposed.

Based on average ambient conditions, 32 °C @ 58% RH.
Cooling load - 51.3kW
Heating load - 7.2kW
Total load - 58.5kW

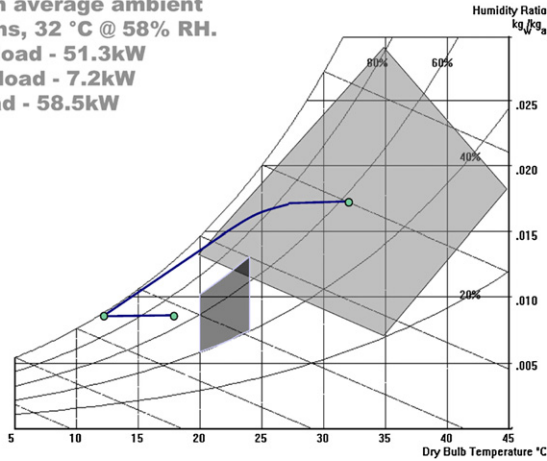


Fig. 3. Simple psychrometric processes for a typical HVAC system at average ambient conditions of 32 °C and 58% RH [6].

Add in the Heat Pipe,
Heat Pipe Pre-cool - 7.2kW
Heat Pipe Re-heat - 7.2kW
New Cooling load - 44.1kW
New Heating load - 0kW
Saving 14.4kW

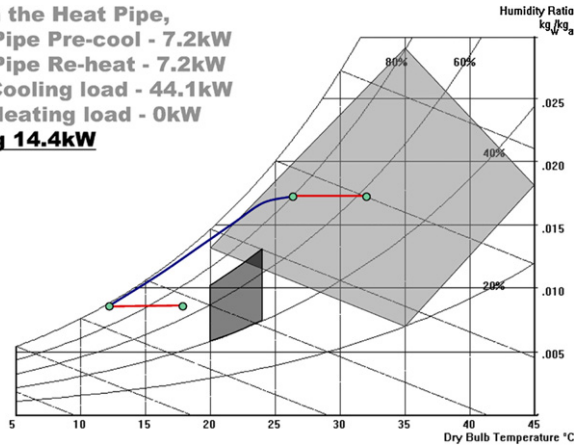


Fig. 4. Simple psychrometric processes for a typical HVAC system with an added HPHX at average ambient conditions of 32 °C and 58% RH [6].

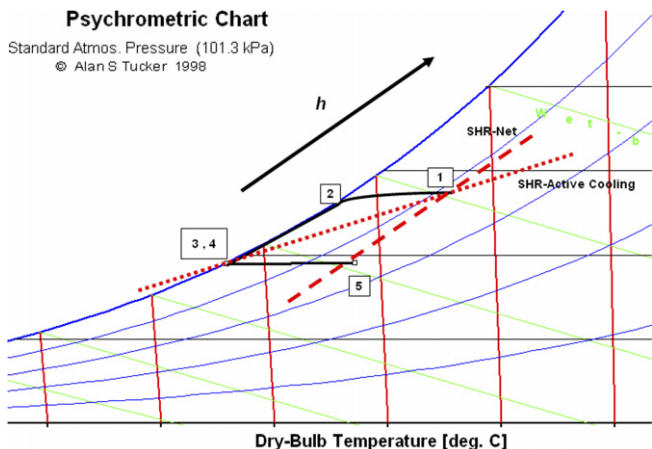


Fig. 5. HPHX overcooled and reheat processes in a HVAC system.

For illustrative purposes, the following have been assumed:

- The HPHX evaporator operates mostly sensibly and produces an exit state 2 which is close to saturation.
- The conventional cooling coil carries out its normal cooling and dehumidifying function (process 2-3), but with enhanced latent capacity because of the pre-cooling effect of the HPHX.
- Air transport between the cooling coil and the HPHX condenser occurs adiabatically (making states 3 and 4 coincident) and without leakage.
- The energy transfer rates in the two sections of the HPHX are equal and opposite {implying that $(h_1 - h_2) = (h_5 - h_4)$ since the air mass flow rates are equal}.
- The space has significant latent loads that would normally necessitate reheat in a conventional HVAC system.

Process 1-2 is the heat pipe pre-cooling and can be represented by:

$$\dot{Q}_{hpc} = \dot{m}_a (h_1 - h_2) \quad (1)$$

Process 2-3 is the air conditioner active cooling and can be represented by:

$$\dot{Q}_{ac} = \dot{m}_a (h_2 - h_3) \quad (2)$$

The total cooling load for the HVAC system is the combination of the HPHX pre-cooling and the AC active cooling, and can be represented by:

$$\dot{Q}_{hac} = \dot{m}_a [(h_1 - h_2) + (h_2 - h_3)] = \dot{m}_a (h_1 - h_3) \quad (3)$$

Process 4-5 is the heat pipe reheat load and can be represented by:

$$\dot{Q}_{hpr} = \dot{m}_a (h_5 - h_4) \quad (4)$$

It is clear that two advantages are provided by the HPHX—the free pre-cooling $(h_2 - h_1)$ and re-heating $(h_5 - h_4)$ processes. The pre-cooling process will enhance the cooling capability for the air conditioner and re-heating process will provide free re-heat energy for the overcooled supply air.

In line with the way previous researchers have presented their data on HPHX performance [2,7], the sensible heat ratio was judged to be the most relevant in determining the capability of HPHX as a means of enhancing dehumidification. The sensible heat ratio, SHR, is defined as the ratio of sensible gains to total gains. In the research described in this paper, sensible heat ratios for the two-step cooling process (process 1-3) and overall net (process 1-5) are determined using the equations below.

For process 1-3, SHR is represented by:

$$SHR_{Active\ Cooling} = \dot{m}_a C_{p1-3} (T_1 - T_3) / \dot{m}_a (h_1 - h_3) \quad (5)$$

and Eq. (5) can be simplified to:

$$SHR_{Active\ Cooling} = C_{p1-3} (T_1 - T_3) / (h_1 - h_3) \quad (6)$$

where C_{p1-3} is the moist air specific heat and can be represented to acceptable accuracy by:

$$C_{p1-3} = 1.005 + 1.84 W_{Active\ Cooling} \text{ kJ kg}^{-1} \text{ K}^{-1} \quad (7)$$

A total of 20 temperature sensors (18 type-K thermocouples and 2 RTD sensors) were calibrated in a thermostated water bath. The sensors were calibrated against a Prema Pt100 Immersion Probe 3012, having an accuracy of $\pm 0.005\%$ [8], over the range of 10°C to 50°C . Cold junction compensation (CJC) was achieved automatically by means of a Prema Pt100 Probe 3011 [8] in an insulated box which also contained the terminal block into which the thermocouple wires were connected. The Tri-Sense relative humidity sensor calibration was achieved using saturated salt solutions for which the equilib-

rium relative humidity is well known for situations where the air flow is very low, or in closed chambers with no air flow. Additional details for instrument calibration procedures may be obtained from Yau [10].

4. Test conditions

For the HVAC system which was the focus of the broader scope of this research (a hospital operating theatre without recirculation located in Kuala Lumpur), it is the outdoor air state that would be experienced directly by the HPHX evaporator. Consequently, in order to obtain relevant performance data for a HPHX in this environment, it was necessary to cover a range of DBT and RH values appropriate to this Kuala Lumpur climate. The general climatic conditions of Kuala Lumpur, Malaysia, are mostly very hot with an average DBT at 20–33 °C and RH of 35–90%.

At the same time it was considered necessary to explore a range of coil face velocities representative of those which might typically occur in practice.

Thus, for this series of experiments, runs were performed as follows, with each of the three experimental variables altered one at a time:

- DBT values of 20 °C, 24 °C, 28 °C and 32 °C.
- RH values of 50%, 60%, 70% and 80%.
- Face velocity values in the approximate range of 1 to 2 m s⁻¹ in 5 increments.

The RH value of ≤ 50% was not tested in this series of experiments because the experimental set-up (without dehumidifier) was not designed to achieve RH value of ≤ 50%, and also, because the proportion of hours per year for which Kuala Lumpur experienced RH values below 50% was very small. Thus, there was a total of 80 (4 × 4 × 5) experimental runs.

Because of some difficulties in achieving precise control of these parameters, there was some inevitable departure from run-to-run compared with the target values just listed. As will be seen, the resultant experimental data is recorded/plotted on an “as achieved” basis rather than an “as intended” basis. Nevertheless, for simplicity in presentation and discussion of the data, runs are grouped according to the above nominal values. Throughout each experiment, the system is allowed to achieve steady-state equilibrium conditions before data are collected. Additional details regarding the experimental set-up and operation may be found in Yau [10].

5. Results and discussion

The following sub-sections describe and discuss the HPHX performance results and the bias uncertainty in these results.

5.1. Performance results

A modified version of the psychrometric program [11] was developed to analyse data collected from these experiments. The data recorded by the Prema 3040 data logger were analysed

Table 2

Energy balance ratio between the return and supply sides of the HPHX

Dry-bulb temperature [°C]	Energy Balance Ratio (EBR) = $\frac{\Delta h_{1-2}}{\Delta h_{4-5}}$			
	RH = 50%	RH = 60%	RH = 70%	RH = 80%
20	1.02	0.99	0.98	0.91
24	0.90	0.89	0.99	0.94
28	1.00	0.96	1.01	1.02
32	0.99	0.92	0.93	0.89
Mass flux rate at 1.6 kg m ⁻² s ⁻¹ (0.150 kg s ⁻¹)				
20	0.90	0.96	0.99	1.02
24	1.02	0.96	1.00	0.98
28	1.00	0.93	0.93	0.97
32	0.96	1.06	1.11	0.97
Mass flux rate at 1.9 kg m ⁻² s ⁻¹ (0.176 kg s ⁻¹)				
20	0.96	1.00	1.00	1.00
24	0.99	0.97	0.96	1.00
28	1.01	1.01	1.00	0.98
32	1.01	0.99	1.07	0.94
Mass flux rate at 2.1 kg m ⁻² s ⁻¹ (0.196 kg s ⁻¹)				
20	1.00	1.00	0.92	0.96
24	0.99	1.03	1.03	0.91
28	0.99	1.02	0.97	0.97
32	1.07	1.06	0.95	0.95
Mass flux rate at 2.2 kg m ⁻² s ⁻¹ (0.205 kg s ⁻¹)				
20	1.02	1.02	0.97	1.01
24	0.99	1.03	0.89	0.94
28	1.01	1.03	1.04	0.93
32	0.97	0.99	1.01	1.04

automatically by the psychrometric software. The energy balance ratio (EBR), as indicated in Table 2, is defined as energy extracted from the hot air stream divided by energy transferred to the cold air stream. It is evident that EBR values, for all cases examined, are ranging from 0.89 to 1.11. These values implied that experimental uncertainty was present in these data because the EBR should be equal to unity if all energy extracted from the hot air stream was transferred to the cold air stream. Therefore, an error analysis was conducted in Section 5.2. The actual inlet air states for the HPHX evaporator are included in Figs. 7–10 for convenient reference.

The influence of inlet DBT for the HPHX evaporator on sensible heat ratio (SHR) for process 1-3 (SHR-Active Cooling) and process 1-5 (SHR-Net) are shown in Figs. 7 and 8, which are representative of results for the range of conditions tested.² The full set of data, covering all mass flow rates may be found in reference [10]. It is evident that, the SHR has been reduced by the HPHX as DBT at inlet to the HPHX evaporator increased. In other words, the results imply that moisture removal capability for the HVAC system with HPHX was increasing with inlet

² The air mass flow rate (0.205 kg s⁻¹) in Figs. 7–10 is actually the highest of the five, which were tested. This has been chosen here as being “representative” because of the fact that the corresponding coil face velocity is closest to that existing in the air handler of the operating theatre, which is the subject of detailed modelling in this research.

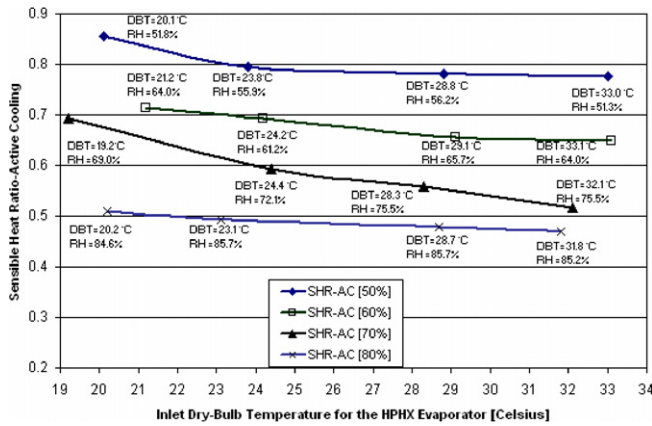


Fig. 7. The influence of inlet DBT for the HPHX evaporator on sensible heat ratio (SHR) for mass flux rate at $2.2 \text{ kg m}^{-2} \text{ s}^{-1}$.

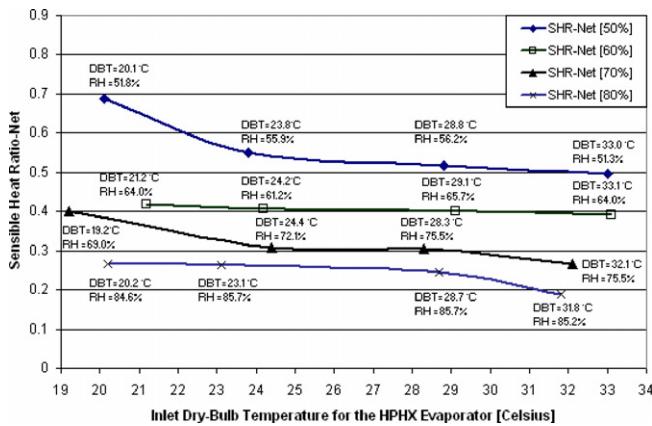


Fig. 8. The influence of inlet DBT for the HPHX evaporator on sensible heat ratio (SHR) for mass flux rate at $2.2 \text{ kg m}^{-2} \text{ s}^{-1}$.

DBT. Nonetheless, the influence of the inlet DBT on SHR is not so significant compared to the inlet RH.

Figs. 9 and 10 show the influence of inlet RH for the HPHX evaporator on sensible heat ratio (SHR) for process 1-3 (SHR-Active Cooling) and process 1-5 (SHR-Net). The full set of data may be obtained in reference [10]. Again, it was observed that for all cases examined, the SHR-Active Cooling and SHR-Net were reduced by the HPHX as inlet RH for HPHX evaporator increased. These results imply that the moisture removal capability for the HVAC system with HPHX was increasing with inlet RH for the HPHX evaporator increased. This was due to the fact that for the same inlet DBT for HPHX evaporator, the higher RH means smaller enthalpy change ($h_1 - h_2$) to achieve apparatus dew point (ADP) temperature, and therefore, the AC system, i.e. the HPHX evaporator and CWC, utilized a significant part of the cooling capacity for dehumidification (moisture removal) rather than sensible cooling (temperature reduction) as shown by process 2-3 in Fig. 5.

At the lowest combination of DBT and RH (i.e. 20°C and 50%), however, for all mass flow rates, the SHR-Active Cooling was fairly high, ranging from 0.79 to 0.86. These results indicate that moisture removal capability of the cooling coils was not enhanced significantly by the HPHX for low DBT

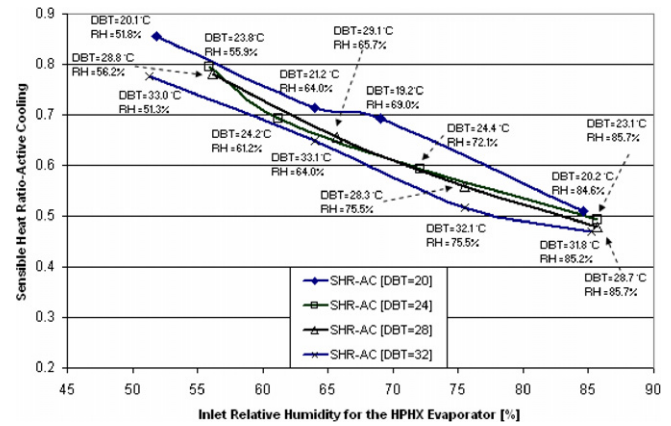


Fig. 9. The influence of inlet RH for the HPHX evaporator on sensible heat ratio (SHR) for mass flux rate at $2.2 \text{ kg m}^{-2} \text{ s}^{-1}$.

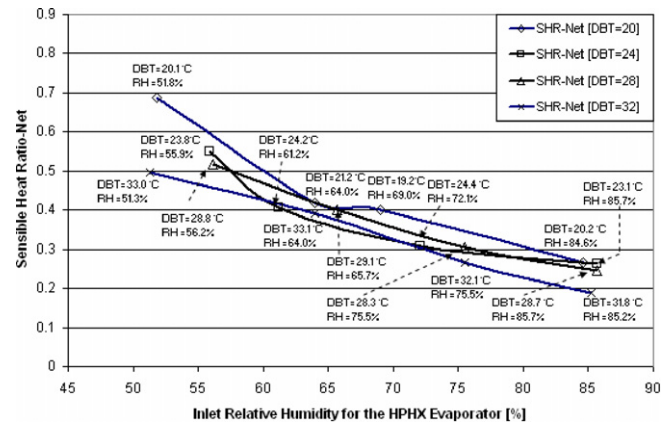


Fig. 10. The influence of inlet RH for the HPHX evaporator on sensible heat ratio (SHR) for mass flux rate at $2.2 \text{ kg m}^{-2} \text{ s}^{-1}$.

and low RH. This was attributed to the fact that under these conditions, the CWC utilised a significant part of its cooling capacity in sensible cooling. Nevertheless, the use of the HPHX in the system had reduced the SHR-Net to the range of 0.65 to 0.69 as compared to conventional cooling coils having a sensible heat ratio of 0.75 to 0.85 [12].

Figs. 11 and 12 show the influence of mass flux on sensible heat ratio (SHR) for process 1-3 (SHR-AC) and process 1-5 (SHR-Net), which are representative of results for the range of conditions tested.³ The full set of data, covering all mass fluxes may be found in reference [10]. It was observed that for all cases examined, with the exception that for mass flux at $\approx 2.2 \text{ kg m}^{-2} \text{ s}^{-1}$ (i.e. the highest mass flux of the five which were tested), the increased mass flux had negligible influence on the SHR-AC and SHR-Net. The results are consistent with the observation, suggested that the law of mass conservation in the system by the forms of Eqs. (6) and (8), that SHR is not a function of mass flux rate. The apparent deviation at the highest mass flux ($2.2 \text{ kg m}^{-2} \text{ s}^{-1}$) may be attributed to the fact that at

³ The DBT at 32°C in Figs. 11 and 12 has been chosen here as being “representative” because of the fact that the DBT at 32°C is closest to the ASHRAE 1% design method [10] for Kuala Lumpur climate.

Table 3
The bias uncertainties for the calibrated temperature sensors

Station	Max DBT [°C]	Max WBT [°C]	Min DBT [°C]	Min WBT [°C]	Bias uncertainty max [°C]	Bias uncertainty min [°C]	Error DBT [°C]	Error WBT [°C]
1	29.1	23.7	29.1	23.6	0.01	0.05	0.0	0.2
2	23.7	22.0	21.8	21.8	0.94	0.12	4.2	0.5
3	20.4	20.1	18.5	18.8	0.98 ^a	0.62 ^a	5.0	3.2
4	19.5	19.7	19.4	19.2	0.05	0.27	0.2	1.4
5	26.7	22.0	24.7	20.8	0.98	0.62	3.8	2.9

^a It should be noted that bias uncertainties for station 3 (consisted of individual DBT and WBT sensors) were assumed to be the same as station 5 due to the fact that station 5 had the highest bias uncertainty values.

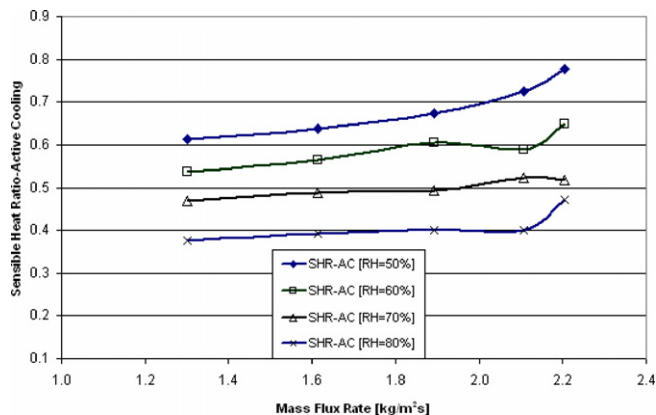


Fig. 11. The influence of mass flux rate on sensible heat ratio (SHR-Active Cooling) for DBT at 32 °C.

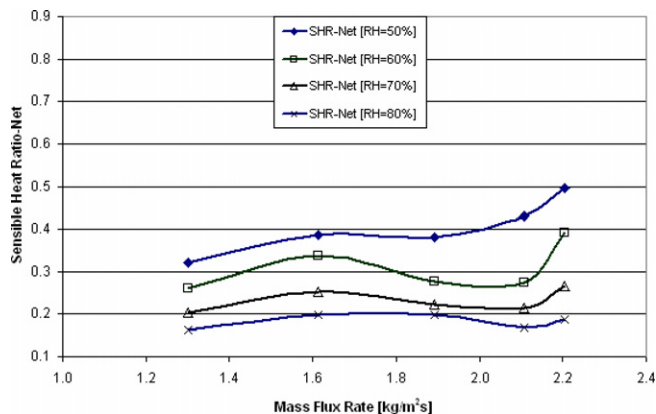


Fig. 12. The influence of mass flux rate on sensible heat ratio (SHR-Net) for DBT at 32 °C.

low air face velocity, moisture removal capability on the finned surfaces should be better than at higher air face velocity (because of the improved opportunity for contacting the cold fin surfaces) and, subsequently, the SHR generally is a little higher at high mass flux rate.

Although this study centred on tropical HVAC systems installed with heat pipe heat exchangers for dehumidification enhancement, it is good to mention that the size of refrigeration plants for HVAC systems installed with HPHXs could be expected to be reduced significantly because of the CWC moisture removal capability enhancement through re-distributing its latent part of the cooling capacity.

5.2. Error analysis

A bias uncertainty analysis was conducted for a representative experimental run for the inlet HPHX evaporator DBT at 29.1 °C, RH at 65.7% and mass flux rate at $\approx 2.2 \text{ kg m}^{-2} \text{ s}^{-1}$ (0.205 kg s^{-1}) and the results were given in Table 3. This representative experimental run was chosen because it was at the mid-range DBT and RH for the range of experimental runs. The mass flux rate at $2.2 \text{ kg m}^{-2} \text{ s}^{-1}$ was chosen due to the same reason as mentioned in Section 5.1.

The bias uncertainty for the SHR-active cooling and SHR-Net are 2.3 and 6.3% respectively. The bias uncertainty for the energy balance ratio (EBR) is significantly larger at 24%. These results suggested that non-uniform temperature distributions were present during this experimental run (Table 2). This could be traced to the fact that uneven cooling and heating were present for both chilled water coil and HPHX at stations 2, 3 and 5. The bias uncertainty for stations 1 and 4 were much lower than stations 2, 3 and 5 (see Table 3) because of better mixing in these two stations. The details of the bias uncertainty analysis can be found in reference [10].

6. Concluding summary

In this paper the baseline performance characteristics of the 8-row wickless HPHX used in a vertical configuration were established over a range of conditions appropriate for a tropical climate. The results would be used to build an empirical HPHX model in TRNSYS (Transient Systems Simulation Program) to simulate realistically the hour-by-hour psychrometric responses of an actual custom-built air handler unit (AHU) installed with or without added HPHXs in future research. The results of this work also could be used as an important guide for building services engineers and researchers who are intending to apply HPHXs as ‘coolness’ recovery and dehumidification devices in HVAC systems operating in tropical countries. The main conclusions from this work are:

- (1) The experimental results demonstrated that for all cases examined, the overall SHR of the HVAC system was reduced from the maximum of 0.688 to the minimum of 0.188 by the HPHX as inlet DBT to the HPHX evaporator increased. These results implied that the moisture removal capability for the HVAC system with HPHX was increasing as inlet DBT for HPHX evaporator increased.

- (2) For the nominal case of DBT = 20 °C and RH at 50% for all configurations of mass flow rates, the SHR-active cooling was fairly high, ranging from 0.786 to 0.856. These results indicated that the moisture removal capability was not enhanced significantly by the HPHX for low DBT and low RH.
- (3) It was observed that for all cases examined, the SHR-active cooling and SHR-Net were reduced from the maximum of 0.856 to the minimum of 0.188 by the HPHX as inlet RH for the HPHX evaporator increased. These results implied that the moisture removal capability for the HVAC system with HPHX was increasing as inlet RH for the HPHX evaporator increased.
- (4) On the basis of this study, it is recommended that tropical HVAC systems should be installed with heat pipe heat exchangers for dehumidification enhancement.

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